

COMPLIANCE CALENDAR FOR TRADING LICENSE HOLDERS		
FINANCIAL YEAR 2021		
REPORTING MONTH	REGULATORY REPORTS	DUE DATE
JANUARY	DECEMBER 2020, Net Liquid Capital Report	5-Jan
	DECEMBER 2020, Monthly Transaction Report	10-Jan
	4th Quarter, 2020 Report	30-Jan
FEBRUARY	JANUARY 2021, Net Liquid Capital Report	5-Feb
	JANUARY 2021, Monthly Transaction Report	10-Feb
MARCH	FEBRUARY 2021, Net Liquid Capital Report	5-Mar
	FEBRUARY 2021, Monthly Transaction Report	10-Mar
	DECEMBER 2020, Audited Financial Statement	30-Mar
APRIL	MARCH 2021, Net Liquid Capital Report	5-Apr
	MARCH 2021, Monthly Transaction Report	10-Apr
	1st Quarter, 2021 Report	30-Apr
MAY	APRIL 2021, Net Liquid Capital Report	5-May
	APRIL 2021, Monthly Transaction Report	10-May
JUNE	MAY 2021, Net Liquid Capital Report	5-Jun
	MAY 2021, Monthly Transaction Report	10-Jun
JULY	JUNE 2021, Net Liquid Capital Report	5-Jul
	JUNE 2021, Monthly Transaction Report	10-Jul



	2nd Quarter, 2021 Report	30-Jul
AUGUST	JULY 2021, Net Liquid Capital Report	5-Aug
	JULY 2021, Monthly Transaction Report	10-Aug
SEPTEMBER	AUGUST 2021, Net Liquid Capital Report	5-Sep
	AUGUST 2021, Monthly Transaction Report	10-Sep
OCTOBER	SEPTEMBER 2021, Net Liquid Capital Report	5-Oct
	SEPTEMBER 2021, Monthly Transaction Report	10-Oct
	3rd Quarter, 2021 Report	30-Oct
NOVEMBER	OCTOBER 2021, Net Liquid Capital Report	5-Nov
	OCTOBER 2021, Monthly Transaction Report	10-Nov
DECEMBER	NOVEMBER 2021, Net Liquid Capital Report	5-Dec
	NOVEMBER 2021, Monthly Transaction Report	10-Dec